

SPICe Briefing
Pàipear-ullachaidh SPICe

Scotland's Commissioner Landscape - A Strategic Approach

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Scotland has seven commissioners accountable to Parliament, with an eighth approved in September 2023 and six more proposed. Due to the rise in the number, and therefore cost, the Finance and Public Administration Committee has begun an inquiry into Scotland's Commissioner Landscape. This briefing provides insight into the Commissioner landscape in Scotland and in other countries across the UK and internationally.



# **Contents**

Summary	3
Introduction	6
Research aims and objectives	9
Detailed objectives of the proposed research	9
Importance of identifying international Commissioner models	9
Evolution of Commissioner landscape in Scotland	
Mapping of existing Commissioner functions and duties in Scotland	12
Current Commissioner model	12
Current Commissioners & budgets	
Proposed Commissioners	13
Identification of duplication in functions and duties	
Considerations for the coherence of Scotland's Commissioner model	18
Comparative analysis of international Commissioner models	23
Comparative case study – Human Rights Commissioner	23
Commissioner models in the UK	24
England/UK-wide	24
Wales	27
Northern Ireland	31
Commissioner models in international contexts	
Canada	33
New Zealand	36
Annex A – Scottish Commissioners in Detail	45
Commissioner for Ethical Standards in Public Life in Scotland	45
Scottish Biometrics Commissioner	47
Scottish Commissioner for Children and Young People	49
Scottish Human Rights Commission	51
Scottish Information Commissioner	53
Scottish Public Services Ombudsman	
Standards Commission for Scotland	56
Bibliography	59

# Summary

Scotland is home to seven independent officeholders, often referred to as commissioners, with an eighth approved in September 2023 and six more proposed. With the rise in the number, and therefore cost, of commissioners the Finance and Public Administration Committee has begun an inquiry into Scotland's Commissioner Landscape. This briefing provides insight into the Commissioner landscape in Scotland and in other countries across the UK (England, Wales, and Northern Ireland) and internationally (Canada and New Zealand).

### The complexity of the commissioner landscape

The commissioner landscape is intricate, not just in Scotland, but also across the UK and globally. This complexity can be attributed to several factors, including diverse historical contexts, the powers devolved to individual Parliaments/Assemblies, political systems, and political cultures.

### International perspectives and learning

It is crucial to consider the broader international commissioner landscape and draw insights from various models. While doing so, it's important to recognise that these models may not seamlessly apply to all political systems. Nonetheless, given the relatively recent establishment of the Scottish Parliament, there is an opportunity for it to evolve and adopt best practices effectively.

### Remit and budget creep

A common occurrence across the countries analysed in this report was commissioners with an increasing remit and budget. In many ways this is unsurprising given many commissioners were created decades previously and even recently there have been far reaching global events. In New Zealand, for example, Covid-19 was raised by the Controller and Auditor General, Ombudsman and Parliamentary Commissioner for the Environment as a reason for delayed projects, to extend mandates and increase staffing, and therefore an increased forecast in spending. In Wales, a similar remit creep can be seen, conversely in this case the funding received from the Welsh Government has not been uplifted to reflect the additional roles and responsibilities and/or has not kept pace with inflation. This demonstrates the potential for there to be an effective challenge function in place to ensure that bodies can adequately undertake their required roles, without an assumption that their budgets will increase incrementally year-on-year.

### Independence of commissioners

The commissioner model in England highlights the potential compromise of commissioner independence due to their reliance on sponsor departments for budget support, which could hinder their ability to act independently. Additionally, whilst acts for commissioners outline their functions to request cooperation from specified public authorities, a lack of detail on the practical implementation along with a potential lack of public or effective scrutiny raises further questions about their autonomy.

### Effective sustainable development models

The Future Generations Commissioner in Wales actively demonstrates how a

commissioner can promote sustainable development and encourage long-term decision-making through various key actions and initiatives. This includes championing public participation, challenging the status quo, and supporting improvements in assessing and planning for well-being, as well as providing advice and support to public bodies and public services boards on well-being planning, emphasising the need for an integrated, long-term approach. The commissioner also serves as a guardian for future generations, engages with stakeholders to identify emerging priorities, and chairs a network of institutions for future generations, fostering collaboration and sharing best practices on sustainable development. These efforts collectively highlight the active promotion of sustainable development, encouragement of long-term decision-making, and support for the implementation of the Well-being of Future Generations Act in Wales. The effectiveness of this model, however, may vary across differing political and governance systems due to the potential complexity arising from tailoring structures to Wales' specific context.

### Funding mechanisms and accountability

In Canada, ongoing discussions for an independent funding mechanism for the Auditor General underscores the importance of a stable funding mechanism to preserve independence and capacity to respond to an evolving environment. Meanwhile, New Zealand's Crown Entities Act 2004 provides a unique approach to the commissioner landscape by establishing a consistent framework for the establishment, governance, and operation of Crown entities, and clarifying accountability relationships. Similarly, commissioners in Northern Ireland are sponsored by departments, with the Minister and their Department playing a pivotal role in setting the legal and financial framework, including the structure of the commissioner's funding and governance.

### Establishment of new commissioners in Scotland

The interviews carried out by Research Scotland revealed mixed views on whether a new commissioner would be the most effective way to address issues 'on the ground' for those with learning disabilities, autism, or neurodiversity. Concerns were raised regarding the potential complexity and confusion that the creation of new commissioners might introduce to an already crowded landscape. Moreover, there were apprehensions about potential overlaps between the functions of existing commissioners if the number of commissioners continued to increase. This is explored in the context of the commissioners with overlapping human rights remits, of which there are several. The responses received to the Committee's call for views also highlighted that often a commissioner is needed due to a failure of national or local government and that there is a need for a review of the criteria for creating new commissioners to align with the changing public sector delivery landscape.

### Governance and oversight in Scotland

The Committee's call for views responses recommended that new bodies undergo explicit scrutiny of costs and potential efficiencies. It was stressed that any new role should align with existing responsibilities and be integrated into current organisational structures. Additionally, there is a need to enhance collaboration and joint working approaches among commissioners with intersecting responsibilities. Furthermore, the responses received to the call for views highlighted the importance of reporting directly to Parliament and the public, ensuring effective and transparent financial planning, and addressing concerns about the value for money of what the commissioners deliver. This will be especially important with the potential addition of new commissioners in future.

### Resource allocation and independence in Scotland

A predominant theme was the crucial importance of ensuring that any new commissioner has adequate resources and powers to fulfil their remit. There were concerns raised in the responses to the call for views about the potential lack of resources in a time of tight public resources, possibly affecting the effectiveness of future commissioners. Furthermore, the call for views and a Research Scotland report highlighted the independence of commissioners as being crucial, with the need for a clear remit, adequate resources, and a well-defined induction to enable them to define their role, effectively engage with affected groups, and influence from within the organisation.

In conclusion, the analysis in this briefing presents a diverse range of themes, including the challenges to commissioner independence, the effectiveness of governance models, the importance of stable funding mechanisms, and the accountability relationships within different commissioner systems. These themes underscore the complex and nuanced nature of commissioner governance, emphasising the need for careful consideration and tailored approaches to address the specific challenges and opportunities within each system. In Scotland specifically, there is a potential need for careful consideration in the establishment of new commissioners, effective governance, resource allocation, and the importance of independence to ensure the effectiveness and credibility of commissioners. Additionally, the need for strategic oversight and collaboration among commissioners with intersecting responsibilities is pivotal for their successful operation.

## Introduction

Scotland is home to seven independent officeholders, often referred to as commissioners.

The current seven officeholders are:

- 1. Commissioner for Ethical Standards in Public Life in Scotland
- 2. Scottish Biometrics Commissioner
- 3. Scottish Commissioner for Children and Young People
- 4. Scottish Human Rights Commission
- 5. Scottish Information Commissioner
- 6. Scottish Public Services Ombudsman
- 7. Standards Commission for Scotland

Additionally, there are seven new proposed commissioners, including one that has recently been approved: <sup>1</sup>

- 1. Patient Safety Commissioner (Bill passed September 2023)
- 2. Victims and Witnesses Commissioner (Bill at stage 1)
- 3. Disability Commissioner (Bill at stage 1)
- 4. Older People's Commissioner
- 5. Wellbeing and Sustainable Development Commissioner
- 6. Future Generations Commissioner
- 7. Learning Disability, Autism and Neurodiversity Commissioner / Commission

The Scottish Parliamentary Corporate Body (SPCB) supports these independent officeholders and sets the terms and conditions of their appointment and annual budget. The SPCB Budget Bid for 2024-25 included £18.296 million for Commissioners and Ombudsman, with individual officeholder budgets ranging from the smallest at £363,000 to £6,708,000.  $^2$  This is a 10% increase from the 2023-24 Budget to the 2024-25 budget bid (8.15% in real terms); the main changes in these budgets from 2023-24 reflect changes in the Electoral Commission (EC) and the Scottish Public Services Ombudsmen (SPSO).

This briefing will explore the Commissioner model in Scotland and internationally to support the Finance and Public Administration Committee's inquiry into Scotland's Commissioner Landscape.

The Scottish Government defines Parliamentary commissioners and ombudsmen as the following: <sup>3</sup>

"Parliamentary commissioners and ombudsmen are typically responsible for safeguarding the rights of individuals, monitoring and reporting on the handling of complaints about public bodies, providing an adjudicatory role in disputes and reporting on the activities and conduct of public boards and their members. The jurisdictions of these officeholders usually cover Scottish Government activity, so it is important to ensure independence from the Scottish Ministers.

Parliamentary Commissioners and Ombudsmen are appointed by the Scottish Parliamentary Corporate Body (SPCB) with the approval of the Scottish Parliament. Each office holder is responsible for employing their own staff, who are not civil servants, and managing their own budgets from funding provided by the Scottish Parliament. Whilst these officeholders are independent in function (i.e., in undertaking their respective regulatory responsibilities), they are accountable to and report directly to the Scottish Parliament on the day-to-day operation of their offices (i.e., funding, accounts, staffing arrangements etc.)."

It is worth noting that differing political and government systems, conflicting and overlapping definitions lead to a complex comparison of the commissioner landscape both nationally and internationally. There is no clear definition of what a commissioner is, and other countries covered in this research often use different definitions, different terms, i.e., officeholder, and many do not distinguish commissioners from a wider subsection of independent organisational types such as ombudsman, Auditor-Generals, or other independent statutory entities. Furthermore, the terms 'commissioner' and 'commission' are utilised in various countries to denote a variety of roles distinct from the specific officeholder relevant to the Committee, including regulatory bodies such as the Scottish Water Industry Commission and inquiries on significant public matters like royal commissions/commissions of inquiry, as observed in the United Kingdom, Australia, Canada, and New Zealand. It is important to learn from these other systems whilst bearing in mind their models may not be directly comparable or able to be mapped onto Scotland's commissioner model.

This briefing will use the following themes as laid out in the Institute for Government Report "How to be an effective Commissioner" and The Scottish Government commissioned report "The role of commissions and commissioners in Scotland and the UK" to determine what 'commissioners' and 'commissioner models' to use as evidence. <sup>4 5</sup>

A **commission** is an independent public body which functions independently of the government. Commissions are independent, arm's length bodies which scrutinise a particular issue or work to secure the rights of a particular group of people, or in relation to a particular theme.

A **commissioner** is an individual who advocates for a certain group, generally supported by a team of staff. These are very individual roles, and the individual appointed can make quite a difference to how the role is undertaken.

**Often these models can be combined.** For example, commissions often have groups of commissioners who serve on their Board.

The roles and responsibilities of commissions and commissioners are generally set out in the law, and the powers commissions have can vary.

A commissioner is not an ombudsman, tsar, regulatory body, or inspectorate, but can have cross-over with some of these roles. <sup>4</sup>

# Research aims and objectives

### Detailed objectives of the proposed research

The Finance and Public Administration Committee has launched an inquiry into Scotland's Commissioner Landscape: A Strategic Approach to better understand how the current model has evolved since devolution, the governance, scrutiny and budget-setting arrangements in place, relationships with government and parliament and whether a more coherent approach to the creation of commissions and commissioners is required. <sup>1</sup>

This research is intended to support the Committee's inquiry by looking at the different Commissioner Models in operation in other countries in both the UK and internationally. It considers the following questions for each country.

- 1. What is the Commissioner model and is it coherent?
- 2. How and why were these roles created?
- 3. What are their role and what functions do they fulfil?
- 4. How well do they work in practice, including their governance, accountability, scrutiny, funding, and reporting arrangements?
- 5. What is their relationship with Parliament and Government?
- 6. What are the costs and budget-setting arrangements?
- 7. What are the advantages and disadvantages of these Commissioner models?

# Importance of identifying international Commissioner models

The research also involves mapping the existing Scottish Commissioners' functions and duties to identify where duplication exists which the Committee can draw on when considering the coherence of Scotland's Commissioner model.

# **Evolution of Commissioner landscape in Scotland**

The commissioner landscape in Scotland has significantly evolved since devolution, with the establishment of seven independent commissioners, and the Parliament's recent approval of an additional commissioner. Furthermore, there are six further proposed commissioners being considered, potentially bringing the total to 14 by the end of the current parliamentary session, if approved by Parliament. As such, the Finance and Public Administration Committee states the evaluation of how commissioners work in Scotland is both "timely and necessary" and is needed to "investigate whether a more coherent and strategic approach is needed for the creation of such commissioners in Scotland". <sup>6</sup>

Additionally, research carried out by Research Scotland on behalf of the Scottish Government on the establishment of a potential new commission or commissioner for learning disability, autism and neurodiversity found that there was concern from current commissioners, commissions, and their partners about the focus on creating more bodies for specific groups, suggesting that a broader approach focusing on human rights and equality for everyone might be more effective. Furthermore, there were reservations about the potential complexity and 'busyness' of the landscape, with a few interviewees highlighting the importance of adhering to the Paris Principles, which establish minimum standards for national human rights institutions. <sup>5</sup>

In 2006 an independent review by Audit Scotland commissioned by the SPCB was carried out to scrutinise the budgets, the existing lines of accountability and how the current Commissioner/Ombudsman work in practice. Considering the resources available in the offices of those commissioners and ombudsman already established, the review concluded that Parliament (and the Executive as appropriate) should consider "...whether the role being created is complementary to the responsibilities of existing office holders and could therefore potentially be subsumed into existing organisational structures." <sup>7</sup>

The Deputy First Minister's letter to the Committee on 7 March 2024 outlines the Scottish Government's Ministerial Control Framework (MCF), which aims to ensure evidence-based and cost-effective decision-making in the establishment of new public bodies amid significant pressure on public spending. The MCF is guided by three key principles:

**Last Resort:** New public bodies should only be established as a last resort according to the Scottish Government's policy.

**Exhaustion of Other Delivery Mechanisms:** The approval process for setting up a new public body through the MCF should only follow after considering all other delivery mechanisms.

**Formal Cabinet Approval:** Formal approval from the Cabinet must be sought before any decision or announcement regarding the establishment of a new public body. <sup>8</sup>

Additionally, a 2006 report on Accountability and Governance by the former Finance Committee in Session 2 also recommended that MSPs should follow specific criteria when deciding whether to propose the creation of a Commissioner:

- Clarity of remit: a clear understanding of the officeholder's specific remit.
- **Distinction between functions:** a clear distinction between different functions, roles and responsibilities including audit, inspection, regulation, complaint handling, advocacy.
- **Complementarity:** a dovetailing of jurisdictions creating a coherent system with appropriate linkages with no gaps, overlaps or duplication.
- **Simplicity and Accessibility:** simplicity and access for the public to maximise the 'single gateway'/'one-stop-shop' approach.
- Shared Services: shared services and organisational efficiencies built in from the outset.
- Accountability: the establishment of clear, simple, robust, and transparent lines
  of accountability appropriate to the nature of the office.

# Mapping of existing Commissioner functions and duties in Scotland

### **Current Commissioner model**

In Scotland, commissioners are created through a process involving legislation and parliamentary approval. <sup>5</sup> The creation of commissioners is a significant aspect of the devolved powers of the Scottish Parliament, and it follows a structured and transparent procedure.

- 1. Legislative Process
- 2. Committee Consideration
- 3. Consultation and Stakeholder Engagement
- 4. Parliamentary Debates and Voting
- 5. Royal Assent
- 6. Implementation and Operationalisation
- 7. Ongoing Scrutiny and Evaluation

This process reflects the democratic and transparent approach to the establishment of commissioners. Detail on the individual roles, responsibility, and scrutiny (where applicable) of the Scottish Commissioners can be found in Annex A.

## **Current Commissioners & budgets**

Table 1: Commissioners and Ombudsman budget bid 2024-25

Office	Created	Legislation	Budget 23-24 £'000	Budget Bid 24-25 £'000	Increase £'000	Cash Increase %	Real Terms Increase %
Scottish Public Services Ombudsman	2002	Scottish Public Services Ombudsman Act 2002	6,155	6,834	679	11.0%	9.20%
Co-location accommodation	-	-	553	561	8	1.4%	-0.23%
Subtotal			6,708	7,395	687	10.2%	8.42%
Commissioner for Ethical Standards in Public Life in Scotland	2000	Ethical Standards in Public Life etc. (Scotland) Act 2000	1,606	1,818	212	13.20%	11.34%
Standards Commission for Scotland	2000	Ethical Standards in Public Life etc. (Scotland) Act 2000	338	363	25	7.40%	5.62%
Electoral Commission	2001	Political Parties, Elections and Referendums Act 2000	1,933	2,165	232	12.00%	10.16%
	2002	Freedom of Information (Scotland) Act 2002; Environmental Information (Scotland) Regulations 2004	2,232	2,413	181	8.10%	6.33%
Commissioner for Children and Young People in Scotland	2003	The Commissioner for Children and Young People (Scotland) Act 2003; The Children and Young People (Scotland) Act 2014	1,536	1,687	151	9.80%	8.02%
	2006	The Scottish Commission for Human Rights Act 2006	1,341	1,456	115	8.60%	6.78%
Biometrics Commissioner	2020	The Scottish Biometrics Commissioner Act 2020	444	499	55	12.40%	10.54%
Subtotal			16,138	17,796	1,658	10.3%	8.46%
Central contingency for legal action, staffing etc	-		500	500	0	0.0%	-1.65%
Total			16,638	18,296	1,658	10.0%	8.15%

<sup>\*2024-25</sup> budget bid vs 2023-24 approved budget

## **Proposed Commissioners**

In addition to the current seven commissioners, an eighth commissioner, the Patient Safety Commissioner, was agreed by Parliament in September 2023. Moreover, there are

<sup>\*\*</sup>Calculated using the Real Terms Calculator. The 23-24 budget is put in 24-25 terms.

<sup>\*\*\*</sup>Co-location accommodation costs for SPSO, CYPCS, SHRC and SBC are accounted for through the SPSO's budget and annual accounts.

proposals for both Members Bills and Scottish Government Bills that could see the creation of additional commissioners. They are as follows.

- 1. Victims and Witnesses Commissioner (Bill in stage 1)
- 2. Disability Commissioner (Bill in stage 1)
- 3. Older People's Commissioner Member
- 4. Wellbeing and Sustainable Development Commissioner Member
- 5. Future Generations Commissioner Scottish Government
- 6. Learning Disability, Autism and Neurodiversity Commissioner / Commission Scottish Government

## Identification of duplication in functions and duties

Duplication in functions and duties of commissioners in Scotland has been a topic of concern, prompting the need for a strategic and coordinated approach to commissioning. One of the key concerns raised is the potential for overlap and duplication of functions among different commissioners and across other organisations in Scotland. This concern is particularly relevant as the number of commissioners continues to grow, potentially increasing the risk of duplication.

In addition to the risk of duplication, there is a need to ensure that commissioners deliver value for money and effectively address the needs of the population. This involves addressing the potential risks associated with duplication and working towards enhancing the efficiency, effectiveness, and impact of commissioners in addressing critical societal issues.

Furthermore, the call for greater accountability and oversight emerged as a critical aspect of addressing duplication in functions and duties. Establishing robust governance structures and mechanisms for evaluating the performance and impact of commissioners can help enhance transparency and accountability. This, in turn, enables the identification and resolution of instances of duplication, thereby improving the overall effectiveness of commissioners.

The following tables show the current and proposed commissioners, their remits, and their potential overlap with other commissioner and public bodies. In some cases, there may be a duplication of duties however in others there may be potential for commissioners to work in tandem and with other organisations to deliver their functions more efficiently and effectively.

### **Table 2: Current Commissioners - potential overlap**

Officeholder	Remit	Potential Overlap -Commissioners	Potential Overlap -Public Bodies
Commissioner for Children and Young People in Scotland	The Commissioner protects and promotes the human rights of children and young people. This includes reviewing law, policy, and practise in relation to the rights of children and young people, promoting best practise, researching issues around children and young people's human rights, investigating issues affecting children's human rights, and reporting to the Scottish Parliament on their work.	Scottish Commission for Human Rights	Children's Hearings Scotland (CHS) Independent Living Fund Scotland Poverty and Inequality Commission
Scottish Commission for Human Rights	The Commission promotes awareness, understanding and respect for all human rights – economic, social, cultural, civil, and political – to everyone, everywhere in Scotland, and to encourage best practice in relation to human rights. The Commission has powers to recommend changes to law, policy, and practice; promote human rights through education, training, and publishing research; and to conduct inquiries into the policies and practices of Scottish public authorities. The Commission is the only Scottish organisation that can make direct contributions to the UN Human Rights Council.	Equality and Human Rights Commission  Disability Commissioner  Commissioner for Children and Young People in Scotland  Older People's Commissioner  Learning Disability, Autism and Neurodiversity Commissioner / Commission  The Independent Anti-Slavery Commissioner  Social Mobility Commission	The Mental Welfare Commission for Scotland Poverty and Inequality Commission
Scottish Public Services Ombudsman	The role of the Scottish Public Services Ombudsman is to investigate complaints about public services in Scotland, including government agencies, local councils, the National Health Service, and a range of other public bodies, to ensure fair and transparent resolution, thereby upholding standards and promoting trust in public services. The Ombudsman also works to drive improvements in public service delivery by identifying and addressing systematic issues and contributing to the enhancement of administrative justice in Scotland.	Victims and Witnesses Commissioner Patient Safety Commissioner	

**Table 3: Proposed Commissioners - Potential Overlap** 

Officeholder	Remit*	Potential Overlap -Commissioners	Potential Overlap -Public Bodies
Patient Safety Commissioner	The commissioner's role is to advocate for systemic improvements in healthcare safety and to promote the importance of patient and public input, including gathering information, making recommendations, and fostering coordination among healthcare providers.	Scottish Public Services Ombudsman Equality and Human Rights Commission	Healthcare Improvement Scotland
Victims and Witnesses Commissioner	The commissioner's role is to protect and promote the rights of victims and witnesses, advance their voices, influence change, ensure that criminal justice agencies meet their responsibilities under the Victims' Code, and abstain from championing or intervening in individual cases.	Scottish Public Services Ombudsman	Community Justice Scotland  Police Investigations & Review Commissioner  Scottish Police Authority  Scottish Criminal Cases Review Commission
Disability Commissioner	The commissioner's role is to promote and safeguard the rights of disabled people, advocate for them at a national level, review laws, policies, and practices related to their rights, promote best practices among service providers, and conduct investigations into service providers related to matters within the remit of the devolved institutions, focusing on how they have addressed the rights, views, and interests of disabled people.	Scottish Commission for Human Rights  Learning Disability, Autism and Neurodiversity Commissioner / Commission  Equality and Human Rights Commission	Independent Living Fund Scotland  The Mental Welfare Commission for Scotland  Poverty and Inequality Commission  Mobility and Access Committee
Older People's Commissioner	The commissioner's role is to raise awareness of the interests of older people in Scotland, promote opportunities for, and eliminate discrimination against, older people, encourage best practice in their treatment, review the adequacy and effectiveness of laws affecting their interests, and undertake investigations into how service providers consider the rights, interests, and views of older people in decisions and work related to devolved matters.	Scottish Commission for Human Rights Equality and Human Rights Commission	Independent Living Fund Scotland  Poverty and Inequality Commission
Wellbeing and Sustainable Development Commissioner	The role of the Commissioner is to ensure compliance with the proposed Bill, hold public bodies accountable, oversee relevant Acts, provide advice, make recommendations, and contribute to legislative reviews and reform, with a focus on achieving the National Outcomes and meeting the values and aspirations of the people of Scotland.	Future Generations Commissioner	Highlands and Island Enterprise  Scottish Law Commission  Poverty and Inequality CommissionScottish Futures Trust
Future Generations Commissioner	The role of the commissioner, who acting on behalf of future generations would be empowered to hold public bodies, including Ministers, to account as well as provide support in relation to the delivery of wellbeing, sustainable development, and future generations outcomes.	Wellbeing and Sustainable Development Commissioner	Highlands and Island Enterprise  Poverty and Inequality Commission  Scottish Futures Trust

Learning Disability, Autism and Neurodiversity Commissioner / Commission	The role of the Commissioner is to oversee the protection of the rights of individuals with learning disabilities and autism, ensure compliance with new laws, and address concerns related to policy implementation, thereby promoting inclusivity and support for neurodivergent individuals in Scotland.	Scottish Commission for Human Rights Disability Commissioner	Independent Living Fund Scotland  The Mental Welfare Commission for Scotland  Poverty and Inequality Commission
			Mobility and Access Committee for Scotland

<sup>\*</sup> The list is not exhaustive of the activities in which the Commissioner might engage.

The potential overlap between commissioners as well as other public bodies is most prominent in commissioners with roles related to human rights. This is due to the broad nature of human rights and the defining legislation. The Equality and Human Rights Commission protects those rights set out in the Human Rights Act 1998 and the Equality Act and the Scottish Human Rights Commission protects those rights set out in the Human Rights Act 1998. A non-exhaustive list of rights in both acts is listed below.

#### Rights listed in the Human Rights Act 1998:

#### Right to life

- · Freedom from torture and inhuman or degrading treatment
- Freedom from slavery and forced labour
- · Right to liberty and security
- · Right to a fair trial
- · No punishment without law
- · Respect for your private and family life,
- · home and correspondence
- · Freedom of thought, belief, and religion
- · Freedom of expression
- Freedom of assembly and association
- · Right to marry and start a family
- Protection from discrimination in respect of these rights and freedoms
- · Right to peaceful enjoyment of your
- property
- · Right to education
- · Right to participate in free elections
- · Abolition of the death penalty

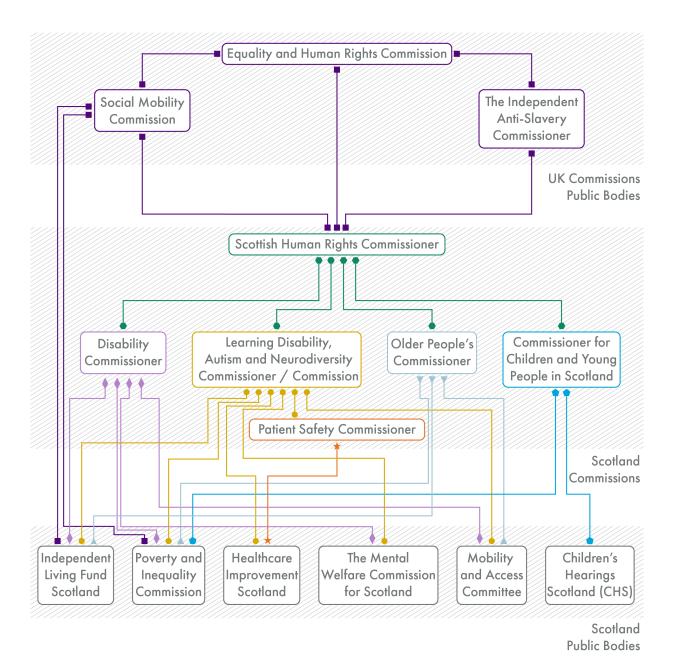
## Protected Characteristics listed in the Equality Act:

- Age
- Disability
- Gender reassignment
- · Marriage and civil partnership
- · Pregnancy and maternity
- Race
- · Religion or belief
- Sex
- Sexual orientation

Several of the rights and protected characteristics covered by the Equality and Human Rights Commission and Scottish Human Rights Commission can be seen in the functions of current and proposed commissioners as well as other public bodies that work in Scotland. The figure below details the potential overlap between the commissioners and

other public bodies as well as the complexity of the landscape. Please note that that this figure is not exhaustive.

Figure 1: Scottish Human Rights Commission potential duplication of functions and duties



# Considerations for the coherence of Scotland's Commissioner model

Research commissioned by the Scottish Government included interviews with commissions, commissioners and partners which provided some insight into the potential implications of additional commissioners in Scotland. Although the interviewees were asked in the context of a potential new commission or commissioner for learning disability, autism and neurodiversity, their insights can be considered more broadly. <sup>5</sup>

- Interviewees welcomed additional resources however, there were mixed views on whether a commissioner was the best way to address the issues. A few said it was important to think about what difference having a commission or commissioner would make tangibly, on the ground for people with lived experience.
- Interviewees highlighted that the landscape was already quite complicated and busy, and the creation of more commissioners could further complicate the situation.
- There were also concerns about potential confusion and uncertainty among the public regarding which commissioner applies to them, as well as the possibility of individuals being pushed between commissioners.
- Interviewees stressed the importance of ensuring that any new commissioner complements existing activity and does not duplicate powers or take away powers from existing commissioners. There were concerns that having more commissioners could lead to issues with investigating cases that fall under the remit of another body, potentially creating more challenges in this regard.
- Furthermore, interviewees underscored the need to consider other options for strengthening human rights for people with autism, learning disability, and neurodiversity, such as better resourcing existing organisations, supporting good practice through standards and guidance, and investing in co-production of policy and practice with the affected communities. Some interviewees suggested that adding resources to existing human rights organisations equivalent to establishing a new commissioner could be transformative.

The Institute for Government's report "How to be an effective commissioner" lays out several recommendations that are relevant when it comes to Scotland's commissioner model and specifically setting up or recruiting new commissioners. The following is directly taken from their report.

- 1. Give the commissioner a well-defined but not overly restrictive remit, be clear where they fit in and organise a proper induction. A clear remit will allow the appointee to define the role and be able to respond to emerging concerns while avoiding overstretch and conflict with other bodies. A well thought through induction, which draws on the experience of previous commissioners, should enable them to hit the ground running, help someone coming in with little experience of government understand better how government works and how to make an impact and help them understand their formal responsibilities as Accounting Officer.
- 2. Ensure that the role has adequate resources and powers to fulfil its remit. There is nothing more frustrating for external groups than raising expectations that the role will make a difference and then under-resourcing it to perform its functions. So, the powers of a commissioner particularly in relation to cooperation from public bodies, and information provision and their statutory basis are important, as is staff resource, the ability to commission independent research and to publicise the role and reach out to involve affected groups. Assurance on future budgets may be an important element of this to avoid any suggestion that a department might seek to curb a critical commissioner by reining in their budget.
- 3. Appoint an individual who has credibility with represented groups and can manage complicated relationships. These are highly individual appointments very different from chair/chief executive roles. The appointee needs to be able to hold their own with campaign groups but also be seen as a credible voice for their concerns. They also need to be politically savvy enough to manage the complicated web of relationships and know when to influence from the inside and when to go public.
- 4. Reinforce the independence of the commissioner. Commissioners are effective only if they are genuinely independent of government. That means they must be able to investigate without needing to seek permission or resource from the department; be able to publish reports under their own authority, rather than going through the department; and have a direct link to parliament, particularly relevant select committees. There may also be a case for considering which department acts as sponsor to make it a department that will not be the prime focus of the commissioner's work and consider a single, longer-term appointment to allow them to do the job unhindered by the need to consider reappointment.
- 5. Take commissioner recommendations and input seriously and be seen to do so. There is no point in establishing a commissioner to give voice to underrepresented groups if government is not committed to responding to recommendations and listening to what they say. That means there should be a formal commitment to respond to reports within a limited time period, as well as to involve the commissioner in advance in relevant policy discussions. If the department particularly the sponsor department for the commissioner does not take them seriously they will rapidly lose credibility in the role.

The Finance and Public Administration Committee launched a call for views for this inquiry which offered valuable perspectives on the present and evolving commissioner model in Scotland. The Committee received 23 submissions to the call for views, all of which were

from organisations. A full summary is being published separately; the submissions contained the following key themes.

- Commissioners are used to hold those with power accountable due to an accountability gap in Scotland.
- Independence is a crucial aspect of why commissioners are preferred over government ministers or departments.
- Commissioners are created to address the perceived failing of authorities and public bodies to deliver functions.
- Future commissioners may lack resources in a time of tight public resources, potentially affecting their effectiveness.
- Reporting directly to Parliament is preferred, but it may also be preferable for commissioners to report to the public.
- The current approach to commissioning in Scotland is not coherent and needs improvement.
- The criteria for creating new commissioners should be reviewed to meet a muchchanged public sector delivery landscape.
- It is difficult to determine the value for money of what the commissioners deliver.
- The annual budget process is problematic, making medium to longer-term financial planning difficult.
- Concerns were raised about potential overlaps between the functions of existing Commissioners if the number of Commissioners continues to increase.
- There is a potential need for enhancing collaboration and joint working approaches among commissioners with intersecting responsibilities.

An independent review of Commissioners and Ombudsman was carried out by Audit Scotland commissioned by the SPCB in 2006. Despite the commissioner landscape changing in Scotland since the date of this review, its recommendations remain relevant especially with the potential overlap in remits of proposed Commissioners. <sup>7</sup>

- During the establishment of new bodies, ensure that explicit scrutiny of costs and
  potential efficiencies from shared services is an integral part of the pre-legislative
  phase, including assessing if the new role aligns with existing responsibilities and
  can be integrated into current organisational structures.
- Utilise existing structures and processes where feasible to minimise bureaucracy and unnecessary organisational complexity.
- Grant the SPCB the explicit responsibility, powers, and resources to strategically
  oversee the business operations of the Ombudsman and Commissioners, while
  safeguarding the independence of office holders and minimising any perception
  of compromise.
- Assess the need for amending the legislation that established the Ombudsman and Commissioners.

# Comparative analysis of international Commissioner models

This section introduces an international comparative analysis of Commissioner models across various countries, supplemented by a case study to enrich the understanding of their structures and functions. By examining the diverse approaches employed to fulfil regulatory and investigative roles in nations including England, Wales, Northern Ireland, Canada, and New Zealand, this analysis seeks to identify commonalities, disparities, and best practices in international commissioner models. The inclusion of a specific case study example of the human rights commissioner, ahead of looking at country models in detail, aims to provide practical insights into the application of these models in real-world contexts, fostering a comprehensive understanding of their efficacy and adaptability across different jurisdictions.

# Comparative case study – Human Rights Commissioner

Human rights are an important issue for all the countries examined in this paper, and all had a Human Rights Commission or Commissioner. However, the power, roles, and remits have differences. Additionally, there are also differences between them relating to their governance, accountability, scrutiny, funding, and reporting. The table below illustrates the commonalities and variations between countries' commissioner models.

The Commissioners in all countries listed are independent and have similar remits centred around promoting and protecting human rights with some also including equality. Their roles also include the power to investigate and resolve complaints related to human rights and discrimination. The Commissioners for all countries are funded by their respective government and accountable to their respective parliament, however some are governed by boards. Their budgets are set by their respective Parliaments, and they are all required to submit annual reports. These reports vary somewhat in what they include, some containing reporting on the commissioner's activities, the state of human rights in their country, and recommendations to the government.

**Table 4: Comparative analysis of Human Rights Commissions** 

Country	Powers and Role Governance, Accountability, and Funding		Reporting and Scrutiny	Budget Setting Arrangements
New Zealand Human Rights Commission	Promotes and protects human rights, resolves complaints of discrimination and breaches of human rights.	Accountable to the New Zealand Parliament, the commission is funded by the government.	It reports annually on the state of human rights in New Zealand and its activities.	Set by the New Zealand Parliament.
Canadian Human Rights Commission	Investigates and tries to settle complaints of discrimination, is accountable to the Parliament of Canada.	Accountable to the Parliament of Canada, the commission is funded by the federal government.	It reports on its activities and the state of human rights in Canada annually.	Set by the Parliament of Canada.
Northern Ireland Human Rights Commissioner	Promotes awareness and understanding of rights, reviews legislation, and operates independently.	The Commission is a non-departmental public body and receives grant-in-aid from the United Kingdom government through the Northern Ireland Office. It reports to Parliament through the Secretary of State for Northern Ireland.	The commissioner submits an annual report to the Northern Ireland Assembly.	Allocated by the UK Government through the Northern Ireland Office, with the Secretary of State for Northern Ireland passing the agreed funding to the Commission as approved by the Westminster Parliament.
England, Scotland & Wales Equality and Human Rights Commission	Enforces equality legislation, promotes, and monitors human rights, and has the authority to scrutinise public authorities' compliance with human rights laws.	Governed by a board, the commission is accountable to the UK Parliament and receives funding from the government.	It is required to report on its activities and make recommendations to the government.	Set by the UK Parliament.
	Holds the power to review the compatibility of Scottish Parliament legislation with human rights, and it plays a significant role in promoting human rights and offering advice and guidance.	Governed to ensure its independence and effectiveness, the commission is funded by the Scottish Government, and it is required to report annually on its activities and the state of human rights in Scotland.	The commission is mandated to report annually on its activities and the state of human rights in Scotland.	Set by the Scottish Parliamentary Corporate Body (SPCB).

## Commissioner models in the UK

### England/UK-wide

There are several commissioners and other similar bodies that have remits specific to England or that operate across the whole of the UK. Those that function in a similar way to officeholders in Scotland are set out in the Table below. Some also have equivalents with similar remits in Scotland.

Table 5: Commissioners in England and UK-wide

Office	Created	Legislation	Role
Electoral Commission	2001	Political Parties, Elections and Referendums Act 2000	The role of the Electoral Commission is to oversee and regulate elections and referendums in the United Kingdom, ensuring their fairness, transparency, and integrity.
Children's Commissioner for England	2004	Children Act 2004 Children and Families Act 2014	The Children's Commissioner for England is responsible for promoting and protecting the rights of children, including advocating for their interests to be considered in policies and decisions.
Victims' Commissioner for England and Wales	2004	Domestic Violence, Crime and Victims Act 2004	The role of the Victims' Commissioner for England and Wales is to promote the interests of victims and witnesses of crime, ensuring that their needs are recognised and addressed within the criminal justice system.
Independent Anti-Slavery Commissioner	2015	Modern Slavery Act 2015	The role of the Independent Anti-Slavery Commissioner is to encourage good practice in the prevention, detection, investigation, and prosecution of modern slavery offenses, and to work closely with various agencies to ensure the coordinated and effective handling of modern slavery issues across the UK.
Domestic Abuse Commissioner	2019*	Domestic Abuse Act 2021	The role of the Domestic Abuse Commissioner is to champion the rights and interests of victims and survivors of domestic abuse, and to hold the government and relevant authorities accountable for their response to domestic abuse issues in England and Wales.
	2007	Equality Act 2006	The Equality and Human Rights Commission is responsible for enforcing equality and non-discrimination laws in the UK, as well as promoting and protecting human rights. It also provides guidance and support to individuals and organisations to ensure compliance with equality and human rights legislation.

\*On 18 September 2019, Nicole Jacobs was appointed as the Designate Domestic Abuse Commissioner and her powers came into force in 2021, and in 2022.

### Governance, accountability, scrutiny, funding, and reporting

The Commissioners operate as autonomous non-departmental public bodies (NDPB). The parameters for accountability and governance of NDPBs are established in framework agreement documents, which outline the relationship between these bodies and their respective sponsor departments.

In the case of the Equality and Human Rights Commission the governance and accountability is as follows. Its sponsor department is the Department for Education (DfE) (since 2015) The Permanent Secretary of the Department for Education serves as the accountable officer to the Parliament and oversees the allocation of funds to the Commission, ensuring regular oversight. The Government Equalities Office acts as the government sponsor for the Commission, with the director holding formal lead responsibility for the relationship with the Commission's CEO and accounting officer. The Commission's Board, comprising 10-15 commissioners, is responsible for strategic direction and oversight, with the CEO being accountable to various stakeholders and responsible for the day-to-day operations. <sup>10</sup>

The establishing Acts for the Children's Commissioner, Victim's Commissioner, Independent Anti-Slavery Commissioner and Domestic Abuse Commissioner set out that the Secretary of State is responsible for making "payments to the ... Commissioner of

such amounts, at such times and on such conditions (if any) as the Secretary of State considers appropriate". <sup>11</sup> In the case of the Independent Anti-Slavery Commissioner, before the beginning of each financial year the Secretary of State must specify a maximum sum which the Commissioner may spend that year. <sup>12</sup>

Commissioners are responsible for providing annual accounts to the Secretary of State and the Comptroller and Auditor General.

The Victims Commissioner, Independent Anti-Slavery Commissioner and Domestic Abuse Commissioner are also responsible for providing an annual report on the carrying out of its functions. <sup>12</sup> <sup>13</sup> The Victim's Commissioner to the Secretary of State for Justice, the Attorney General, and the Secretary of State for the Home Department, the Independent Anti-Slavery Commissioner to the Secretary of State, the Scottish Ministers and the Department of Justice in Northern Ireland and the Domestic Abuse Commissioner to the Secretary of State. The Independent Anti-Slavery Commissioner and Domestic Abuse Commissioner must also prepare a strategic plan and submit it to the Secretary of State and have it approved by the Secretary of State. In the case of the Independent Anti-Slavery Commissioner, the plan must:

- 1. State the Commissioner's objectives and priorities for the period to which the plan relates.
- 2. State any matters on which the Commissioner proposes to report during that period.
- 3. State any other activities the Commissioner proposes to undertake during that period in the exercise of the Commissioner's functions. <sup>12</sup>

The creating Acts for the Independent Anti-Slavery Commissioner and Domestic Abuse Commissioner also state that the Commissioners may request a specified public authority to co-operate with the Commissioner in any way that the Commissioner considers necessary for the purposes of the Commissioner's functions however it does not detail how this should be done.

The funding of UK wide commissioners is somewhat more complicated. For example, the electoral commission can receive funding from UK Parliament, Secretary of State, Scottish Ministers, Welsh Consolidated Fund, Scottish Parliamentary Corporate Body, Welsh Ministers, and Senedd. <sup>14</sup>

### Considerations

The use of sponsor departments has the potential to compromise the independence of a commissioner. An Institute for Government report explains: <sup>4</sup>

"The ability of commissioners to act independently can be hampered by the fact that they often rely on the department they are supposed to challenge for budget support. Some have to go through their sponsor departments before they can lay their reports through parliament, something they would prefer to be able to do under their own authority. In his resignation letter, the previous Independent Anti-Slavery Commissioner cited Home Office interference, commenting that 'at times independence has felt somewhat discretionary from the Home Office, rather than legally bestowed'."

Although several of the creating Acts for commissioners include sections on the functions commissioners have to request a specified public authority to co-operate with the Commissioner, there is a lack of detail on how this would work in practice.

### Wales

In Wales, there are four commissioners:

**Children's Commissioner for Wales:** The Children's Commissioner for Wales is responsible for promoting and safeguarding the rights and welfare of children in Wales, as well as ensuring that their voices are heard, and their interests are represented.

Older People's Commissioner for Wales: The Older People's Commissioner for Wales works to promote and protect the rights and interests of older people in Wales, addressing issues that affect their well-being and quality of life.

**Welsh Language Commissioner:** The Welsh Language Commissioner promotes and facilitates the use of the Welsh language, ensuring that organisations and public bodies comply with Welsh language standards and that the rights of Welsh speakers are protected.

The Future Generations Commissioner for Wales focuses on ensuring that the interests of future generations are considered in decision-making processes, promoting sustainable development and the well-being of future generations.

These commissioners were created through the following process, which is similar to the approach that has been followed in Scotland:

- Identify the need: Identify a specific area where a commissioner is needed to
  oversee and advocate for rights or interests. This could arise from social, legal, or
  political concerns within Wales, similar to how commissioners are proposed in
  Scotland.
- Legislative proposal: Develop a legislative proposal outlining the establishment of the commissioner's office, including their role, powers, and responsibilities. This proposal is typically drafted by the Welsh Government or a Member of the Senedd (MS).
- **Introduction to Senedd**: The legislative proposal is introduced to the Senedd, Wales' parliament, as a bill. The bill is assigned to a relevant committee for scrutiny and examination.
- **Committee review**: The committee examines the bill in detail, holds consultations, gathers evidence, and may make amendments to the proposed legislation based on feedback and expert opinions.
- **Debate and vote**: Following committee review, the bill is presented to the full Senedd for debate and voting. Members of the Senedd discuss the bill's merits, potential impacts, and any proposed amendments before voting on its approval.
- Passage of legislation: If the bill receives majority support in the Senedd, it is passed into law. This legislation formally establishes the commissioner's office and

outlines their mandate.

- Appointment process: The Welsh Government initiates the process of appointing
  the commissioner as outlined in the newly passed legislation. This typically involves a
  selection process based on specified criteria and may include public consultations or
  interviews.
- Commissioner takes office: Once appointed, the commissioner assumes office and begins fulfilling their duties as outlined in the legislation. They may engage in advocacy, oversight, investigation, and other activities related to their mandate.

### Governance, accountability, scrutiny, funding, and reporting

In Wales, oversight of commissioners is primarily provided by the Welsh Government. The Welsh Government ensures that commissioners operate within their defined mandates, adhere to relevant legislation, and effectively utilise allocated budgets. Additionally, oversight may come from specific government departments or bodies responsible for the respective areas covered by the commissioners, such as the Ministry for Children, Young People and Families for the Children's Commissioner or the Welsh Language Board for the Language Commissioner. Furthermore, the Welsh Parliament may provide scrutiny and oversight through committees and inquiries to ensure accountability and transparency in the commissioners' activities.

### Future Generations Commissioner for Wales – A holistic approach

The Future Generations Commissioner in Wales demonstrates how a commissioner can promote sustainable development and encourage long-term decision-making through several key actions and initiatives:

Advocacy for sustainable development: The commissioner champions public participation, involvement in decision-making, and challenges the status quo within the public sector to support improvements in assessing and planning for well-being.

**Support for public bodies:** The commissioner provides advice and support to public bodies and public services boards on well-being planning, emphasising the need for an integrated, long-term approach to effectively assess and challenge public bodies on their contribution to the Well-being of Future Generations Act.

**Guardian for future generations:** The commissioner serves as a guardian for future generations, highlighting the risks they face and challenging short-term policymaking. This includes producing the first Future Generations Report, setting out how public bodies can think and plan for the future.

**Engagement and priorities:** The commissioner engages with various stakeholders, identifying emerging priorities such as climate change, economic change, population change, and citizen disengagement. This engagement facilitates a deeper understanding of the needs of communities and individuals, influencing long-term decision-making.

**Networking and collaboration:** The commissioner chairs a network of institutions for future generations, providing a platform for sharing knowledge, experience, and best practices on sustainable development. This collaboration fosters long-term approaches to decision-making and policy formulation. <sup>15</sup>

These actions and initiatives demonstrate how the Future Generations Commissioner actively promotes sustainable development, encourages long-term decision-making, and supports the implementation of the Well-being of Future Generations Act in Wales. <sup>16</sup>

### **Costs and budget-setting arrangements**

The process for costs and budget-setting arrangements for the Commissioners is governed by the relevant creating Act which outlines the funding arrangements, budget setting, and allocation of resources for the office. The Commissioners are funded independently of Welsh Ministers but are accountable to the Welsh Parliament for the use of resources made available to the organisation. The Commissioner is required to submit an annual budget to Welsh Ministers, setting out the estimated financing needed from the Welsh Government to fulfil statutory functions. Welsh Ministers are required to then lay the Estimate, with or without modifications before the Welsh Parliament. Additionally, the Commissioner must prepare a statement of accounts for each financial year, which is then audited by the Wales Audit Office and laid before the Welsh Parliament. The Commissioner's salary is set by the Welsh Ministers, and the salaries of directly employed staff are reviewed annually.

**Table 6: Commissioners in Wales** 

Office	Created	Legislation	Indicative 24-25* £'000	Draft Budget 24-25 £'000	Changes £'000	Change**
Children's Commissioner for Wales	2000	Care Standards Act 2000; Children's Commissioner for Wales Act 2001	1,675	1,591	-84	-5.01
Older People's Commissioner for Wales	2006	Commissioner for Older People (Wales) Act 2006	1,701	1,616	-85	-5.00
Welsh Language Commissioner	2011	Welsh Language (Wales) Measure 2011	3,357	3,189	-168	-5.00
Future Generations Commissioner for Wales	2015	Well-being of Future Generations (Wales) Act 2015	1,695	1,610	-85	-5.01
Total			8,428	3,006	-422	-5.00

<sup>\*</sup>Each Commissioner has submitted their statutory estimate for 2024 to 2025 as required by the respective Acts by the end of October before the next financial year.

In practice this has shown varying levels of success. In a recent report published by the Welsh Parliament Public Accounts and Public Administration Committee the following was highlighted:

"All Commissioners note changes to their roles and responsibilities since the posts were established. However, they report the funding they receive from the Welsh Government has not been uplifted to reflect the additional roles and responsibilities and/or has not kept pace with inflation, changes to the demographic and Welsh Government strategies."

As noted in the table above, budgets have reduced as "a cross-government decision has resulted in a reshaping of indicative spending allocations to provide extra funding and protection for the services which matter most to people and communities across Wales – the NHS and the core local government settlement, which funds schools, social services and social care and other everyday services. Spending more in some areas means that there is less to spend in other areas. This has led to a 5% budget reduction for all four statutory Commissioners in Wales." <sup>17</sup>

#### **Considerations**

The commissioner model in Wales, exemplified by institutions such as the Welsh Language, Older Persons, and Children's Commissioners, serves as a clear governance approach. This model enables the promotion of sustainable development and encourages public bodies to consider the long-term impacts of their actions, fostering an environment that supports decision-making for the long term. The Future Generations Commissioner for Wales, for instance, is tasked by statute with producing a Future Generations Report every five years, providing an assessment of improvements public bodies should make to meet well-being objectives. <sup>18</sup> This approach allows for monitoring and assessment of the extent to which public bodies are meeting their well-being objectives, promoting awareness, encouraging best practices, and providing advice and assistance to public bodies. Additionally, the creating Acts for the commissioners in Wales specify how the new commissioner should work with relevant ombudsman and commissioners and public

service boards.

However, one of the potential disadvantages of this model is the potential complexity arising from the need to build structures tailored to Wales' specific context, which may not be directly applicable to other countries or even other parts of the UK. <sup>19</sup> While the commissioner model emphasises long-term decision-making and public participation, its effectiveness may vary across different regions and governance systems, necessitating a nuanced approach to its implementation.

### Northern Ireland

Northern Ireland has several non-departmental public bodies (NDPB). An NDPB is a national or regional public body that operates independently but is still answerable to ministers and is not staffed by civil servants. There are two main types of NDPBs: Executive NDPBs, which carry out executive, administrative, commercial, or regulatory functions within a government framework with varying degrees of operational independence, and Advisory NDPBs, established by ministers to provide counsel on specific matters to them and their departments.

The NDPBs that function in a similar way or have a similar remit to commissioners in Scotland are seen below.

**Table 7: Commissioners in Northern Ireland** 

Office	Created	Legislation	Sponsoring Department	Role
Northern Ireland Human Rights Commissioner	1999	Belfast (Good Friday) Agreement  Northern Ireland Act 1998  Justice and Security (Northern Ireland) Act 2007  European Union (Withdrawal Agreement) Act 2020	UK Government Department, The Northern Ireland Office	The Commission's primary role is to make sure government and public authorities protect, respect, and fulfil the human rights of everyone in Northern Ireland.
Commissioner for Older People	2011	Commissioner for Older People Act (Northern Ireland) 2011	Department for Communities	Safeguard and promote the interests of older people.
Commissioner for Children and Young People	2003	The Commissioner for Children and Young People (Northern Ireland) Order 2003	Department for Communities	Safeguard and promote the interests of children and young persons
The Equality Commission for Northern Ireland	1998	Northern Ireland Act 1998	The Executive Office	Provide protection against discrimination on the grounds of age, disability, race, religion and political opinion, sex, and sexual orientation.
Commission for Victims and Survivors for Northern Ireland	2006	The Victims and Survivors (Northern Ireland) Order 2006	The Executive Office	Promote the interests of victims and survivors.

### Governance, accountability, scrutiny, funding, and reporting

The above commissions are NDPBs and receive grant-in-aid from the UK government through the Northern Ireland Office. They report to Parliament through the Secretary of State for Northern Ireland. The Secretary of State for Northern Ireland is responsible for passing to the Commission the funding agreed by the UK Parliament. The Northern Ireland Office is also responsible for laying annual reports and financial accounts before Parliament. <sup>20</sup>

Additionally, the commission/er can establish an Audit and Risk Assurance Committee (ARAC) to advise and support the Commissioner as Accounting Officer in the discharge of responsibilities for issues of risk, control and governance and associated assurance.

As stated in their founding legislation, all commissioners are responsible for reporting each year. This includes sending copies of the statement of accounts relating to that year and a report on the carrying out of the functions of the Commissioner during that year. The statement of accounts must be sent to the Office of the First Minister and deputy First Minister (the Office), and the Comptroller and Auditor General. The Comptroller and Auditor General must examine, certify, and report on every statement of accounts sent to the Comptroller and Auditor General by the Commissioner under this paragraph, and send a copy of the Comptroller and Auditor General's report to the Office. The Office must lay a copy of the statement of accounts and of the Comptroller and Auditor General's report before the Assembly.

The report on the carrying out of the functions of the Commissioner during that year must

be sent as soon as practicable after the end of each financial year. The Commissioner must send to the Office a report giving details of the steps taken by the Commissioner in that year for the purpose of complying with the Commissioner's duty. The Office must then lay a copy of every report sent to it before the Assembly; and send a copy of every such report to the Secretary of State.

### **Costs and budget-setting arrangements**

Although the commissioners are NDPBs, they are sponsored by Departments who provide their budget allocation. For example the Northern Ireland Human Rights Commissioner is sponsored by the UK Government Department and the Northern Ireland Office and the Commissioner for Older People (COPNI) is sponsored by the Department for Communities who provide COPNI with a budget allocation. The relationships between COPNI, the Minister and her Department are governed by the "arm's length" principle, wherein the primary role of the Minister is to set COPNI's legal and financial framework including the structure of its funding and governance. These responsibilities are discharged on a day to-day basis on the Ministers' behalf by the Sponsoring Department, the Department for Communities. <sup>21</sup> <sup>22</sup>

#### **Considerations**

Commissioners in Northern Ireland are sponsored by Departments who provide their budget allocation. Although this relationship is described as 'arm's length' the primary role of the Minister and their Department is to set the legal and financial framework including the structure of the commissioner's funding and governance.

### Commissioner models in international contexts

The comparison of commissioner systems internationally is complex due to differing political and government systems and conflicting definitions. The term "commissioner" lacks a clear, universal definition, with different countries using varying terms and often failing to distinguish commissioners from other independent organisational types. Moreover, the use of "commissioner" and "commission" in different countries denotes a wide range of roles, from regulatory bodies to inquiries on public matters. While it's important to learn from these systems, it's crucial to recognise that their models may not be directly comparable or suitable for Scotland's commissioner model.

### Canada

There are nine officers responsible directly to Parliament rather than to the government or a federal minister however there is no statutory definition of what constitutes an officer of the Parliament. <sup>23</sup> <sup>24</sup> Additionally, several Canadian provinces have established their own commissioners, such as the Human Rights Commissioners in Quebec and British Columbia. For consistency, only national commissioners have been included in this research.

**Table 8: Officers of Parliament in Canada** 

Office	Created	Legislation	Role
Auditor General of Canada	1878	Auditor General Act Financial Administration Act	Oversees federal government operations and provides independent advice to Parliament.
Chief Electoral Officer of Canada	1920	Canada Elections Act Referendum Act	Ensures fairness and efficiency in federal elections.
Commissioner of Official Languages	1970	Official Languages Act	Ensures federal offices comply with the Official Languages Act.
Information Commissioner of Canada	1983	Access to Information Act	Oversees compliance with the Access to Information Act.
Privacy Commissioner of Canada	1983	Privacy Act Personal Information Protection and Electronic Documents Act	Protects and promotes privacy rights of individuals.
Conflict of Interest and Ethics Commissioner	2007	Parliament of Canada Act	Ensures public office holders adhere to high ethical standards.
Commissioner of Lobbying of Canada	1985	Conflict of Interest Act	Oversees lobbying activities and promotes transparency.
Public Sector Integrity Commissioner of Canada	2007	Public Servants Disclosure Protection Act	Addresses disclosures of wrongdoing in the federal public sector.
Parliamentary Budget Officer	2006*	Parliament of Canada Act	Provides independent financial and economic analysis to Parliament.

<sup>\*</sup>Did not become an officer of Parliament until 2017

Additionally, the following do not fall under the same designation as an officer of the parliament however are empowered under an Act and operate independently from government. Both the Accessibility Commissioner and Pay Equity Commissioner sit within the Canadian Human Rights Commission.

### Commissioners in Canada

Office	Created	Legislation	Role
Canadian Human Rights Commission	1977	Canadian Human Rights Act Employment Equity Act 1995	Administers the law, which protects people in Canada from discrimination when based on any of the 13 grounds such as race, sex, and disability.
Accessibility Commissioner	2019	Accessible Canada Act	Ensuring that organisations are fulfilling their obligations set out in the Accessible Canada Act and the Accessible Canada Regulations.
Pay Equity Commissioner	2018	Pay Equity Act	Administers and enforces the Pay Equity Act by leading the Pay Equity Unit, within the Canadian Human Rights  Commission

### Governance, accountability, scrutiny, funding, and reporting

The officers of Parliament as well as the Human Rights Commission are responsible directly to Parliament, reinforcing their independence from the government of the day. These officers carry out duties assigned by statute and report to one or both chambers of Parliament. 24

These officers are appointed by the Governor in Council by commission under the Great Seal, and their appointment is approved by one or both houses of Parliament through a resolution. They hold office for a guaranteed term as per statute and can be removed from office by a resolution of one or both houses. They report directly to Parliament, maintaining independence from the government.

The officers support both houses in their accountability and scrutiny functions by carrying out independent oversight responsibilities assigned to them by statute. They are responsible for providing analysis and reports to the Senate and the House of Commons on various matters of national significance, such as government estimates, national finances, and election campaign proposals.

The Officers of Parliament seek funding from the government for their operations. The funding is allocated through the Budget process and Treasury Board and is subject to approval by Parliament. This process involves seeking authority from Parliament for the supply of funding, either through a supply bill, which becomes an appropriation act upon royal assent, or through separate enabling legislation. The authority sought in a supply bill is scrutinised by parliamentary committees, with appropriations allotted by a departmental vote structure. Officers of Parliament, such as the Auditor General and the Parliamentary Budget Officer, support parliamentary scrutiny of government spending. The entire process, from policy approval by Cabinet through to consideration by Parliament, involves various stages, including Treasury Board approval, Budget decision, and parliamentary approval of funding through an appropriation act or separate legislation. <sup>25</sup>

This established funding mechanism ensures that the Officers of Parliament have the necessary financial resources to carry out their mandated responsibilities while upholding their independence and accountability. However, there have been discussions and efforts to establish an independent funding mechanism that reflects the independent role played by these officers, ensuring their financial independence and impartiality. This call for independence in funding has garnered support from other parliamentary watchdogs, emphasising the need for an independent process for funding, although the exact model is yet to be defined. The ongoing discussions and advocacy for independent funding mechanisms for Officers of Parliament underscore the importance of maintaining their independence and impartiality in fulfilling their oversight and accountability functions. <sup>26</sup>

### Costs and budget-setting arrangements

Each year, each Officer of Parliament presents a Departmental Plan as part of the budget process. It includes budgetary spending for the current year as well as planned spending for current year and two following years. The table below shows the spending of a subsection of Officers of Parliament. Numbers are presented in Canadian Dollars (CAD).

Table 9: Budgetary spending and planned spending for Canadian Officers of Parliament

Office	2024-25 Budgetary spending (Main Estimates) CAD	2024-25 planned spending CAD	2025-26 planned spending CAD	2026-27 planned spending CAD
Auditor General of Canada	127,415,620	127,534,214	128,234,214	126,230,714
Chief Electoral Officer of Canada*	259,288,288	259,288,288	195,833,290	152,170,542
Commissioner of Official Languages	25,354,225	25,354,225	24,423,802	24,452,644
Information Commissioner of Canada	17,169,646	17,169,646	17,239,478	17,249,272
Privacy Commissioner of Canada	33,981,300	33,981,300	31,752,904	31,780,347
Commissioner of Lobbying of Canada	5,956,000	6,154,000	5,978,000	5,982,000
Public Sector Integrity Commissioner of Canada	6,066,353	6,066,353	6,103,397	6,110,699
Canadian Human Rights Commission	37,757,130	37,757,130	37,066,882	37,098,502

<sup>\*</sup>During the period the agency will be getting ready to deliver an election under the new representation orders in 343 electoral districts and maintaining a high level of readiness under a minority government context until the latest date that the 45th general election can be called (fall 2025). Investments in digital transformation priorities will also continue. These variations affect only the statutory portion of the funding. As noted in the Financial Framework section, Elections Canada does not forecast planned spending in its Main Estimates related to election delivery activities until the fiscal year of a fixed-date election.

#### **Considerations**

The ongoing discussions and advocacy for an independent funding mechanism for the Auditor General of Canada highlight the need for consideration of a long-term, stable funding mechanism to preserve its independence and capacity to respond to an evolving environment.

### **New Zealand**

New Zealand has Crown entities which are an important part of government, set up at 'arm's length' from ministers to deliver a range of government services and make some decisions independently. The New Zealand Public Service Commission explains Crown entities in the following way: <sup>27</sup>

"The legal basis for the way that Crown entities operate is set out in the Crown Entities Act 2004, with further requirements in other legislation including the Public Finance Act 1989 and Public Service Act 2020. In addition, each Crown entity is subject to its own founding legislation."

There are several different types of Crown entity as described in Section 7 of the Crown Entities Act 2004.

Statutory Crown entities must deliver services and functions in accordance with their establishment legislation. They are generally funded to do so through a combination of taxpayer funding, in some cases from fees, charges and levies on users, and in some cases from other sources.

Most statutory Crown entities are governed by boards appointed by a responsible minister. A small number of 'corporation sole' Crown entities have a sole member acting as the board and chief executive.

Statutory Crown entity 'independence' relates to their statutorily independent functions defined in their establishment legislation. They are not 'independent' of the Crown's ownership interest and may have to give effect to or have regard to a range of directions and policies and whole-of-government directions.

Specifically, statutory Crown entities are expected to respond to priorities and expectations set for them by their responsible minister. They are also subject to a wider range of policies, standards, requirements, and expectations that apply to core government departments, including in areas such as integrity, employment relations and working across organisational boundaries in the delivery of services. These requirements and expectations may vary slightly, dependent on the type of statutory Crown entity.

Statutory Crown entity boards are accountable both to their responsible ministers, and to Parliament, for their performance and use of funds.

The following are the 3 key players in the operation of each statutory Crown entity. An operating expectations framework is set out in the Commission's guidance document 'It Takes Three'. <sup>28</sup>

The responsible minister — appoints statutory Crown entity boards (or in the case of independent Crown entities, makes recommendations to the Governor-General to appoint). The responsible minister also sets expectations on delivery priorities and performance, holds the board to account, and is answerable to Parliament for the performance of the statutory Crown entity. Decisions on funding a statutory Crown entity, including the amount of taxpayer funding they receive and the level of any fees, charges, or levies, are generally set by Cabinet or (in some cases) by Parliament.

The statutory Crown entity board — governs the statutory Crown entity to deliver against legal requirements and ministerial expectations, within the budget that has been made available to it. The board appoints and holds their chief executive to account and makes specific decisions for which it has statutory independence. The board is also responsible for monitoring and reporting on the statutory Crown entity's performance and its use of funds and is accountable to the responsible minister and Parliament.

The monitoring department — provides advice to the responsible minister on the statutory Crown entity's performance. Statutory powers of the monitor (if delegated by minister) are generally limited to requesting information from the board. The monitor also assists the responsible minister in making board appointments and conducts other tasks such as administering the relevant funding appropriation.

#### **Independent Crown entities**

In New Zealand, independent Crown entities are defined under the Crown Entities Act 2004. These entities fall under various types, including statutory entities, Crown agents, autonomous Crown entities (ACE), and independent Crown entities (ICE). The distinguishing factor for independent Crown entities is their general independence from government policy, enabling them to operate autonomously within the framework of their establishment legislation. They are expected to have regard to government policy, but their independence is underscored by their ability to operate independently, such as the Commerce Commission, which enforces legislation promoting competition. <sup>27</sup>

The Independent Crown entities laid out in Part 3 of Schedule 1 of the Crown Entities Act 2004 are seen in the table below. <sup>29</sup>

Table 10: New Zealand's independent Crown entities

Office	Created	Additional Legislation	Role		
Broadcasting Standards Authority	1989	Broadcasting Act 1989	Upholds broadcasting standards and providing a free, independent complaints service for broadcast content, while also engaging with stakeholders and overseeing broadcasting content.		
Children and Young People's Commission	2023 1989*	Children and Young People's Commission Act 2022	Advocates for children's rights to be recognised and upheld, provides advice and guidance to government and other agencies, advocates for system-level changes, ensures children's voices are heard in decisions that affect them and monitors places where young people are detained.		
Climate Change Commission	2019	Climate Change Response (Zero Carbon) Amendment Act	Provides independent, expert advice to the Government on mitigating climate change (including through reducing emissions of greenhouse gases) and adapting to the effects of climate change; and monitors and reviews the Government's progress towards its emissions reduction and adaptation goals.		
Commerce Commission	1986	Commerce Act 1986 Fair Trading Act 1986	Administers and enforces laws relating to competition, fair trading, consumer credit and economic regulation. Its economic regulation work is in sectors that include telecommunications, energy networks, transport fuel, the retail payment system, dairy and airports.		
		Credit Contracts and Consumer Finance Act 2003			
		Dairy Industry Restructuring Act 2001			
		Telecommunications Act 2001			
Criminal Cases Review Commission	2019	Criminal Cases Review Commission Bill	Reviews potential miscarriage of justice cases and refers appropriate cases back to an appeal court.		
Drug Free Sport New Zealand	1994	Sports Anti-Doping Act 2006  New Zealand Sports and Drug Agency Act 1994	Responsible for keeping sport clean in New Zealand, and free from doping. We work across New Zealand's sporting community to protect clean athletes and promote clean sport.		
Electoral Commission	1993	Electoral Act 1993	Responsible for running New Zealand's parliamentary elections and keeping the electoral rolls up to date.		
Electricity Authority	2010	Electricity Industry Act 2010	Regulates the electricity industry, sets the market rules, and conducts operational activities to ensure the electricity system and markets run effectively.		
External Reporting Board	1993	Financial Reporting Act 1993 Financial Reporting Act 2013	Develops and issue reporting standards on accounting, audit and assurance, and climate, for entities across the private, public, and not-for profit sectors.		
Financial Markets Authority	2011	Financial Markets Authority Act 2011	Promotes and facilitate the development of fair, efficient, and transparent financial markets; and to promote the confident and informed participation of businesses, investors, and consumers in the financial markets.		
Health and Disability Commissioner	1994	Health and Disability Commissioner Act 1994	Promotes and protects people's rights as set out in the Code of Health and Disability Services Consumers' Rights.		
Human Rights Commission	1977	Human Rights Commission Act 1977 Human Rights Act	Promotes respect for human rights and Te Tiriti through projects, research, campaigns, and legal interventions. Publish resources, guidelines and information promoting a better understanding of human rights and Te Tiriti. Provide support for people who have had their human rights breached through complaints and dispute		

		1993	resolution. Hold businesses and government to account for breaches of Te Tiriti and human rights.		
		Human Rights Amendment Act 2001			
Independent Police Conduct Authority	1989	Independent Police Conduct Authority Act 1988	The Independent Police Conduct Authority handles complaints about the NZ Police.		
Law Commission	1985	Law Commission Act 1985	Reviews the law of Aotearoa New Zealand and makes recommendations to the Government to improve it and advises the Government on areas of law it considers should be reviewed.		
Mental Health and Wellbeing Commission	2021 2019**	Mental Health and Wellbeing Commission Act 2020	Contributes to better and equitable mental health and wellbeing outcomes for all people in Aotearoa and performs an enduring role in transforming Aotearoa New Zealand's approach to mental health and wellbeing.		
Office of Film and Literature Classification	1993	Films, Videos, and Publications Classification Act 1993	Helps people in Aotearoa make decisions about what to watch, play and read including, when necessary, reducing harm.		
Privacy Commissioner	2020	Privacy Act 2020	Develops and promotes a culture in which personal information is protected and respected.		
Takeovers Panel	2000	Takeovers Act 1993	Regulates New Zealand's takeovers market and strengthens investor confidence in New Zealand's capital markets by enforcing the Takeovers Code.		
Transport Accident Investigation Commission	1990	Transport Accident Investigation Commission Act 1990 Commissions of Inquiry Act 1908	The Commission's powers enable it to carry out its inquiries and includes the power to prohibit access to an accident site, to inspect and remove records, and to secure physical evidence.		

<sup>\*\*</sup>An Initial Commission was set-up in November 2019 to maintain the momentum of He Ara Oranga, the Government inquiry into mental health and addiction, and the work to transform Aotearoa New Zealand's mental health and wellbeing system while Te Hiringa Mahara was being established. The Initial Commission undertook some, but not all, of the functions of Te Hiringa Mahara.

#### Governance, accountability, scrutiny, funding, and reporting

The legal basis for the operations of independent Crown entities is outlined in the Crown Entities Act 2004. <sup>29</sup>

"The purpose of this Act is to reform the law relating to Crown entities to provide a consistent framework for the establishment, governance, and operation of Crown entities and to clarify accountability relationships between Crown entities, their board members, their responsible Ministers on behalf of the Crown, and the House of Representatives, and, to that end, — (a) to provide for different categories of Crown entities and for each category to have its own framework for governance (including the degree to which the Crown entity is required to give effect to, or be independent of, government policy): (b) to clarify the powers and duties of board members in respect of the governance and operation of Crown entities, including their duty to ensure the financial responsibility of the Crown entity: (c) to set out reporting and accountability requirements."

Some Crown entities also have additional requirements in other legislation such as the Public Finance Act 1989 and the Public Service Act 2020. Each Crown entity is also

subject to its own founding legislation, further shaping their governance and operational framework. These entities are accountable both to their responsible ministers and to Parliament for their performance and use of funds. The Crown Entity Resource Centre (CERC) supports statutory Crown entity performance, appointments, governance, and monitoring, providing guidance for ministers, Crown entity boards, and monitoring and appointing departments.

The Crown Entities Act 2004 establishes comprehensive guidelines for Crown entities in New Zealand regarding the preparation and submission of key documents, including statements of intent, performance expectations, and annual reports to their responsible Minister.

<u>Section 138</u> of The Act mandates that a Crown entity must provide a statement of intent to promote the public accountability by:

- 1. Enabling the Crown to participate in the process of setting the Crown entity's strategic intentions and medium-term undertakings:
- 2. Setting out for the House of Representatives those intentions and undertakings:
- 3. Providing a base against which the Crown entity's actual performance can later be assessed.

This statement of intent is required to cover the forthcoming financial year and at least the subsequent three financial years. It is also necessary for the Crown entity to provide a statement of intent at least once within every three-year period, unless exempted from this requirement by the Act or another legislation. Furthermore, the Act specifies the content that the statement of intent should encompass, including strategic objectives, the entity's functions and intended operations, management of functions and operations to meet strategic intentions, organisational health and capability management, performance assessment, and other relevant matters.

Additionally, the Act introduces the purpose and content of the statement of performance expectations. This includes the identification of reportable outputs, exemptions granted, proposed supply of non-reportable outputs, and the inclusion of forecast financial statements that comply with section 149G. The statement of performance expectations is also required to provide a concise explanation of the intended achievements of the outputs, expected revenue and proposed expenses for each output class, and how the performance of the outputs will be assessed. Furthermore, these statements must comply with generally accepted accounting practice and be finalised through signing by the board members or the sole member in the case of a corporation sole.

Moreover, Crown entities are obliged to prepare, present, and publish an annual report on the affairs of the entity, providing it to the responsible Minister within a specific timeframe. This report aims to ensure transparency, public accountability, and effective governance within Crown entities, aligning with the broader goal of promoting responsible and ethical conduct in the management and operations of these entities.

The governance of independent Crown entities is crucial, and the Crown Entities Act 2004 specifies the roles and responsibilities of the board members, underscoring their duty to ensure financial accountability. Additionally, the Act outlines reporting and accountability requirements for these entities, further highlighting their autonomy within the New Zealand

public sector.

#### Costs and budget-setting arrangements

Crown entities produce statements of intent to outline their objectives and funding, which are then approved by the minister at the commencement of each fiscal year. Subsequently, these entities present their annual reports to Parliament, detailing their progress towards these objectives, with their financial statements subject to audit by the controller and auditor general. Moreover, parliamentary select committees annually review the statements of intent and annual reports of Crown entities. Under the Ombudsman Act 1975, the ombudsman holds the authority to address complaints concerning the administration of Crown entities, and under the Official Information Act 1982, complaints regarding these entities' decisions to withhold information can also be considered.

#### **Officers of Parliament**

New Zealand also has three Officers of Parliament who carry out non-political scrutiny on behalf of the House of Representatives.

Although wide-ranging the primary powers held by these officers are:

- Independently commence investigations or inquiries pertaining to their specific area.
- Address complaints or concerns from the public regarding Government activities
- Resolve or pursue such complaints and concerns, as well as other issues raised by the public.
- Submit reports with recommendations to the House.

Table 11: New Zealand's Officers of Parliament

Office	Created	Legislation	Role
	1975	The Ombudsmen Act 1975 The Official Information Act 1982 The Local Government Official Information and Meetings Act 1987 The Crimes of Torture Act 1989 The Protected Disclosures Act 2000	Investigates complaints about government actions at all levels in New Zealand and ensures public access to official information.
Controller and Auditor-General	2001	Public Audit Act 2001	Functions as the auditor for all public entities, ensuring adherence to Parliament-approved spending.
Parliamentary Commissioner for the Environment	1986	Environment Act 1986	Preserves and enhances NZ environment, investigates reports of negative impacts, and advises committees.

#### Governance, accountability, scrutiny, funding, and reporting

All Officers of Parliament are appointed by the Governor General and are accountable to the House. The House has also established the Officers of Parliament Committee, chaired by the Speaker of the House, which is responsible for the following functions:

- Making recommendations for the appointment of Parliament officers in case of vacancies
- Approving and suggesting budgets for the officers
- · Recommending the House's appointment of auditors for the officers
- Reviewing any proposals for creating new types of Parliament officers
- · Developing or revising codes of practice for the officers

Furthermore, the Officers of Parliament Committee recommends their appointments, approves their budgets, and ensures they have the necessary resources to fulfil their duties. Their reports are examined by the Auditor-General and presented to the House of Representatives, contributing to transparency and public accountability in governance. <sup>30</sup>

#### Costs and budget-setting arrangements

Funding for the Officers of the Parliament is independent and provided by the Public Finance Act 1989 and is determined by Parliament through the Officer of the Parliament Committee. The Officers of Parliament Committee receives submissions from each Officer each year with the Officers proposed alterations to their current appropriations and draft budgets and out-years. The Committee then reviews these submissions with the input from the Treasury and then presents them to the Parliament. The requests will then be included in the main Appropriation Bill for the coming financial year, or in the Appropriation Bill dealing with the Supplementary Estimates for the current financial year. 31

The Officers of Parliament Committee met from 15 March 2023 to 5 April 2023 to consider the alterations to the 2022/23 appropriations and the draft budgets for 2023/24 for the Officers of Parliament and heard evidence from the Controller and Auditor-General, the Chief Ombudsman, and the Parliamentary Commissioner for the Environment, as well as receiving advice from the Treasury.

The Officers presented forecasts with the stipulation that there will be year on year fluctuation. In the case of the Controller and Auditor General these are quite significant due to "increasing audit complexity (mostly related to COVID-19), pressure on auditors' capacity and availability, and audit costs rising faster than audit fees while Audit New Zealand works to increase its staffing." Covid-19 was also raised by the Ombudsman and Parliamentary Commissioner for the Environment with impacts ranging from delayed projects, to extended mandates. <sup>32</sup>

Table 12: New Zealand Officer of Parliament budgets

Adjustments for 2022/23 and out-years*	2022/23 \$000	2023/24 \$000	2024/25 \$000	2025/26 \$000	2026/27 \$000	
Ombudsman	47,300	53,765	48,362	48,352	46,494	
Percentage increase	-	13.67%	-10.05%	-0.02%	-3.84%	
Controller and Auditor-General	28,487	37,026	28,661	28,269	18,768	
Percentage increase	-	29.98%	-22.59%	-1.37%	-33.61%	
Parliamentary Commissioner for the Environment	3,986	3,946	3,946	3,946	3,946	
Percentage increase	-	-1.00%	0.00%	0.00%	0.00%	

<sup>\*</sup>Includes the following where applicable: current annual appropriation, forecast increase in

complaints – temporary, retaining trained experienced staff, office accommodation, expense transfer from 2021/22, expense transfer from 2022/23, adjustment for expense transfer to 2023/24 & 2024/25, adjustment to manage audit deficits, and other income received.

#### **Considerations**

New Zealand's Crown Entities Act 2004 provides a unique approach to the commissioner landscape as: <sup>29</sup>

"The purpose of this Act is to reform the law relating to Crown entities to provide a consistent framework for the establishment, governance, and operation of Crown entities and to clarify accountability relationships between Crown entities, their board members, their responsible Ministers on behalf of the Crown, and the House of Representatives."

Additionally, the Act establishes comprehensive guidelines for Crown regarding the preparation and submission of key documents, including statements of intent, performance expectations, and annual reports to their responsible Minister which could affect the ease of scrutiny. <sup>29</sup>

# Annex A – Scottish Commissioners in Detail

Scotland has seven current commissioners. The Commissioners have differing functions with some being regulatory bodies, advocacy bodies, and others handing complaints. The following information is detailed below for each commissioner:

- Statutory Powers
- · Background and Timeline
- Cost and Budget History
- · Accountability and Scrutiny

## Commissioner for Ethical Standards in Public Life in Scotland

#### Role and Responsibilities

- To investigate complaints about the conduct of Members of the Scottish Parliament (MSPs), local authority councillors and board members of public bodies. Where the Commissioner considers that there has been a breach of the relevant Code of Conduct, they will report
  - in the case of councillors and members of public bodies, to the Standards Commission for Scotland (SCS)
  - in the case of MSPs, to the Scottish Parliament.
- To investigate complaints about lobbyists who have failed to register or provide certain information to the Scottish Parliament and, where there has been a contravention, to report to the Scottish Parliament.
- To regulate and monitor how people are appointed to the boards of public bodies in Scotland, and to promote diversity in that process. The key functions are:
  - To prepare, publish and, as necessary, revise a Code of Practice for Ministerial Appointments to Public Bodies in Scotland (the Code)
  - To issue guidance on the Code and to promote compliance with its provisions
  - To examine the methods and practices employed by the Scottish Ministers when making appointments
  - To report to the Scottish Parliament instances of material non-compliance with the Code of Practice; the Commissioner may direct the Minister to delay making the appointment until Parliament has considered the report, and
  - To ensure that, as far as possible, appointments are made fairly and openly and allow everyone, where reasonably practicable, the opportunity to be considered

for an appointment.

#### **Background**

The post of the Commissioner for Ethical Standards in Public Life was created by the Public Services Reform (Commissioner for Ethical Standards in Public Life in Scotland etc.) Order 2013. The single commissioner replaced the Commission for Ethical Standards in Public Life in Scotland and its two members: the Public Standards Commissioner for Scotland and the Public Appointments Commissioner for Scotland.

The decision to create the role of the Commissioner for Ethical Standards in Public Life was made after the Commission proposed in 2011 that the two Commissioner posts should be merged bringing responsibility for the delivery of the separate statutory functions together. The Commission submitted this proposal to the SPCB, and then Presiding Officer Tricia Marwick wrote to the Standards, Procedures and Public Appointments Committee in November 2011 to request that Scottish Ministers bring forward a draft Order and undertake a consultation on the proposal. This letter stated the benefits of the proposal:

- In financial terms it is considered that a restructure could make savings of £36.9k in 2012-13 rising to full year savings of £43.7k thereafter.
- It is suggested that in addition to delivering financial savings, the proposed restructure would fit in with the ethos of continuous improvement. The current model is effectively that of an organisation with two chief executives, with their own statutory functions and responsibilities albeit only one of the Commissioner's, (the PAC) is the Accountable Officer. The Commission does not consider this an optimum organisational model for the longer term within the wider context of the ethical standards framework.

The draft order was laid in Parliament and went out to public consultation between 18 January 2013 and 29 March 2013 and the Draft Order was approved by Parliament on 5 June 2013. 34

#### Relevant legislation relating to the Commissioner

Ethical Standards in Public Life etc. (Scotland) Act 2000

Scottish Parliamentary Standards Commissioner Act 2002

The Public Services Reform (Commissioner for Ethical Standards in Public Life in Scotland etc.) Order 2013 - The Commissioner for Ethical Standards is established on 1 July 2013.

Lobbying (Scotland) Act 2016

#### **Statutory Powers of the Commissioner**

The Ethical Standards Commissioner and their team:

- Investigate complaints about the conduct of MSPs, local authority councillors, board members of public bodies and lobbyists
- Regulate how people are appointed to the boards of public bodies in Scotland

#### **Ethical Standards Commissioner staffing**

As of May 2023, 21 people worked at the Ethical Standards Commissioner. More details are available here.

#### Scrutiny sessions by Parliamentary committees

Standards, Procedures and Public Appointments Committee 18 January 2024

Standards, Procedures and Public Appointments Committee 9 March 2023

Standards, Procedures and Public Appointments Committee 10 February 2022

Standards, Procedures and Public Appointments Committee 9 September 2021

Public Audit Committee 30 March 2023

Public Audit Committee 2 March 2023

Public Audit Committee 29 September 2022

Public Audit Committee 27 January 2022

Local Government, Housing and Planning Committee 12 December 2023

Local Government, Housing and Planning Committee 10 January 2023

#### **Reports by Audit Scotland**

The 2021/22 audit of the Commissioner for Ethical Standards

#### **Budget**

The Scottish Parliament allocates a budget to the Commissioner each financial year. the table below shows the budget allocated since 2019/20:

#### Commissioner for Ethical Standards and Public Life in Scotland Budget 2019-2023

2022-23	2021-22	2020-21	2019/20
£1,143,000	£946,000	£937,000	£957,000

ESC Annual Report and Accounts 2022-23

Annual Report and Accounts 2021-22

ESC Annual Report and Accounts 2020-21

### Scottish Biometrics Commissioner

#### Role and Responsibilities

The Commissioner's general function is to support and promote the adoption of lawful, effective, and ethical practices in relation to the acquisition, retention, use and destruction of biometric data for criminal justice and police purposes by Police Scotland, the Scottish Police Authority (SPA) and the Police Investigations and Review Commissioner (PIRC). The Commissioner must lay an annual report on activities each year before the Scottish

Parliament and may publish other reports and research as necessary.

#### **Background**

The Scottish Government introduced the Scottish Biometrics Commissioner Bill to the Scottish Parliament on 20 May 2019.

The accompanying Policy Memorandum gave information on the Bill's background:

In June 2017, the then Cabinet Secretary for Justice, Michael Matheson MSP, tasked an Independent Advisory Group (IAG), chaired by Solicitor Advocate John Scott QC, to consider the taking, use and retention of biometric data in policing. The recommendations made by the IAG were consistent with the previous findings of Professor Jim Fraser in 2008 and Her Majesty's Inspectorate of Constabulary in Scotland (HMICS) in 2016. The IAG called for:

Legislation to create an independent Scottish Biometrics

[...]

The Scottish Government's consultation ran from 13 July to 1 October 2018, generating 89 written responses. Respondents were broadly supportive of the proposals.

The reports in 2008 and 2016 on the matter, mentioned in the policy memorandum, are detailed in the SPICe briefing on the bill:

In 2007, Professor Jim Fraser was asked by the Scottish Ministers to review and report on the operation and effectiveness of the statutory regime governing fingerprint and DNA data. This work led to a number of amendments to the 1995 Act. Professor Fraser's report also highlighted the need for the establishment of independent oversight arrangements in this area.

In January 2016, Her Majesty's Inspectorate of Constabulary in Scotland (HMICS)

published its Audit and Assurance Review of the Use of Facial Search functionality within the UK Police National Database (PND) by Police Scotland. Through that report, HMICS recommended that the Scottish Government consider the establishment of a Scottish Biometrics Commissioner to provide independent oversight of biometric databases and records held in Scotland.

#### Legislation establishing the Commissioner

Scottish Biometrics Commissioner Act 2020

#### **Statutory Powers of the Commissioner**

The Commissioner's general function is to support and promote the adoption of lawful, effective, and ethical practices in relation to the acquisition, retention, use and destruction of biometric data for criminal justice and police purposes by Police Scotland, the Scottish Police Authority (SPA) and the Police Investigations and Review Commissioner (PIRC).

#### **Scottish Biometrics Commissioner staffing**

Four people work at the Scottish Biometrics Commissioner. More details are available here.

#### Scrutiny sessions by Parliamentary committees

Criminal Justice Committee 26 October 2022

Criminal Justice Committee 15 June 2022

#### **Reports by Audit Scotland**

Office of the Scottish Biometrics Commissioner 2021/22 Annual Audit Report

#### **Budget**

Total expenditure on running costs for 2022/23 was £428k against a budget of £421k but after non-cash adjustments funding of £415k was required from the SPCB, an underspend of £6k against the budget.

#### Annual Report and Accounts 2022/23

Total expenditure on running costs for 2021/22 was £294,000 against a budget of £301,000 but after non-cash adjustments funding of £278,000 was required from the SPCB, an underspend of £23,000 against the budget.

Annual Report and Accounts 2021/22

## Scottish Commissioner for Children and Young People

#### **Role and Responsibilities**

The Commissioner protects and promotes the human rights of children and young people. The Commissioner and her team review law, policy, and practise in relation to the rights of children and young people, promote best practise, research issues around children and young people's human rights, investigate some issues affecting children's human rights, and report to the Scottish Parliament on their work. The Commissioner carries out participation work including listening to their Young Advisors, children aged 12-17 from across Scotland who meet online and in person to share their views.

#### **Background**

In 2000, following a memorandum from the Scottish Executive on the case for a Children's Commissioner, the Education, Culture and Sport Committee agreed to conduct an inquiry into the need for a Children's Commissioner in Scotland, and what the roles and responsibilities of a Scottish Children's Commissioner might be. The consultation period ran from May 2001 - February 2002.

The Commissioner for Children and Young People (Scotland) Bill was introduced to the Scotlish parliament by the Committee on 14 February 2002.

The explanatory notes on this Bill include the following information on the background:

This Bill is a Committee Bill [...] The Bill resulted from an investigation and report published by the Education, Culture and Sport Committee on 14 February 2002, Report on Inquiry into the Need for a Children's Commissioner in Scotland, (2nd Report 2002, SP Paper 508). This was followed by a further report published on 3 July 2002, Report on Proposed Commissioner for Children and Young People Bill, (11th Report 2002, SP Paper 617). The proposal for a Committee Bill was debated and approved by the Parliament on 25 September 2002.

The Bill was developed from the Committee's conclusion that a new and independent office of "Commissioner for Children and Young People" (the Commissioner) should be established by statute.

The Bill was passed on 26 March 2003 and became an Act on 1 May 2003.

#### Legislation establishing the Commissioner

The Commissioner's powers were set out in the

Commissioner for Children and Young People (Scotland) Act 2003

and subsequently amended in the

Children and Young People Act (Scotland) Act 2014

#### **Statutory Powers of the Commissioner**

The Commissioner's main function is to promote and protect the rights of children and young people in Scotland. That includes:

- Everyone in Scotland under 18.
- Everyone in Scotland under 21 who is care experienced.
- The Commissioner has to tell people in Scotland about the rights children and young people have and help them understand what they mean in practice.
- The Commissioner has to look at what powerful people in Scotland do and the laws they pass and challenge them when they don't respect children and young people's rights.
- The Commissioner has to tell people who work with and for children and young people how to get better at respecting human rights.
- The Commissioner should research issues around children and young people's human rights and get others to carry out research around this. She should tell people what the research finds.
- The law gives the Commissioner a special power to investigate some issues affecting children's human rights. There are limits on when this power of investigation can be used.

- The Commissioner must report to the Scottish Parliament, so they know what she's doing in her job.
- The Commissioner must encourage equal opportunities and the observance of equal opportunity requirements.
- The Commissioner must have regard to the UN Convention on the Rights of the Child, especially around:
  - · considering the best interests of children and encouraging others to do so, and
  - considering the views of children and young people and encouraging others to do so.

#### Children and Young People's Commissioner Scotland staffing

There are 17 people who work at the Children and Young People's Commissioner Scotland. More details are available here.

#### Scrutiny sessions by Parliamentary committees

The Children and Young People's Commissioners have attended Committee meetings and contributed to inquiries etc. but it has not been possible to identify specific evidence sessions on how they fulfil their functions.

#### **Reports by Audit Scotland**

Children and Young People's Commissioner for Scotland Report to the Commissioner and the Auditor General for Scotland on the 2021/22 audit

#### **Budget**

The SPCB allocated the Commissioner a budget of £1,457,000 for the financial year 2022-23, a budget of £1,374,000 for the financial year 2021-22 and a budget of £1,211,000 for the financial year 2020-21.

## Scottish Human Rights Commission

#### Role and Responsibilities

The Commission has a general duty to promote awareness, understanding and respect for all human rights – economic, social, cultural, civil, and political – to everyone, everywhere in Scotland, and to encourage best practice in relation to human rights. The Commission can recommend changes to law, policy, and practice; promote human rights through education, training, and publishing research; and conduct inquiries into the policies and practices of Scottish public authorities. The Commission is the only Scottish organisation that can make direct contributions to the UN Human Rights Council.

#### Background

The Scottish Commissioner for Human Rights Bill was introduced to the Scottish Parliament by the Scottish Government on 7 October 2005. The Policy Memorandum which accompanied this Bill gave more information on the background of this Bill:

In 1998, the European Convention on Human Rights was] incorporated into Scots law through the Human Rights Act 1998 and the Scotland Act 1998. The Human Rights Act requires public authorities to comply with the ECHR, while the Scotland Act provides that actions of the Scottish Minister and Acts of the Scottish Parliament that do not comply with the ECHR are unlawful.

The incorporation of the ECHR into Scots law meant that the rights set out in it could be enforced through the Scottish courts. Incorporation made it significantly easier for individuals to seek legal redress for alleged breaches of their human rights under the ECHR, which in turn meant that ensuring compliance with the ECHR became a major issue for Scottish public authorities. This led to suggestions that Scotland should follow the example of a number of other countries by establishing a human rights commission to improve awareness of, and compliance with, human rights.

In March 2000 the Lord Advocate and the then Minister for Justice stated that the Executive was considering the establishment of a human rights commission. This was followed by a formal announcement in June 2000 that the Executive would launch a public debate on the issues involved. Public consultations were held in 2001 and 2003, and the Partnership Agreement of 2003 that set out the Executive's programme for the period to 2007 included a commitment to establish a human rights commission for Scotland. It is that commitment that the Bill is intended to deliver.

#### Legislation establishing the Commissioner

Scottish Commission for Human Rights Act 2006

#### **Statutory Powers of the Commissioner**

The Commission has powers to recommend changes to law, policy, and practice; promote human rights through education, training, and publishing research; and to conduct inquiries into the policies and practices of Scottish public authorities.

#### **Scottish Human Rights Commission staffing**

There are 12 members of staff at the Scottish Human Rights Commission. More details are available here.

#### Scrutiny sessions by Parliamentary committees

Equalities, Human Rights and Civil Justice Committee 16 January 2024

Equalities, Human Rights and Civil Justice Committee 6 December 2022

#### **Reports by Audit Scotland**

Scottish Human Rights Commission 2022/23 Annual Audit Report

#### **Budget**

The Commission receives its budget from the SPCB.

The SPCB approved a core budget of

£1,099k for the financial year 2022-23

£1,294k for the financial year 2021-22

£1,010k for the financial year 2020-21

Annual Report 2022-23

Annual Report 2020-21

### Scottish Information Commissioner

#### Role and Responsibilities

The Scottish Information Commissioner is the independent public official responsible for promoting and enforcing Scotland's freedom of information (FOI) law. The Commissioner investigates FOI appeals, promotes the public's 'right to know', promotes good FOI practice to public authorities, and intervenes when public authority practice is not compliant with FOI law.

#### **Background**

The Freedom of Information Act 2000 implemented a manifesto pledge of the Labour Party in the 1997 general election. In Scotland, the Freedom of Information (Scotland) Act 2002 was passed to cover public bodies in Scotland. This Act included provision for a Scottish Information Commissioner. The Policy Memorandum that accompanied this Bill includes information on the consultation that the (then) Scottish Executive carried out, including on the matter of creating the role of Scottish Information Commissioner:

Consultation on the proposals for a Scottish statutory Freedom of Information regime began on 25 November 1999 with the publication of the Executive's consultation document An Open Scotland. This set out the Scottish Executive's proposals for the introduction of a statutory Freedom of Information regime and views were invited from a broad range of interested bodies and groups, including all those public authorities which it was envisaged could be subject to a Scottish FOI regime.

A summary of responses was published on 25 May 2000. The proposals in An Open Scotland were broadly welcomed, particularly the harm test of "substantial prejudice" and a Scotlish Information Commissioner to promote and enforce the scheme.

#### **Legislation establishing the Commissioner**

Freedom of Information (Scotland) Act 2002

Environmental Information (Scotland) Regulations 2004

**INSPIRE** (Scotland) Regulations 2009

#### **Statutory Powers of the Commissioner**

The Commissioner's functions include investigating appeals and issuing legally

enforceable decisions. Applicants and public authorities have a right to appeal the Commissioner's decisions, on a point of law, to the Court of Session. The Commissioner also provides guidance to public authorities on how to meet their legal obligations, and information to the public about their rights.

#### **Scottish Information Commissioner staffing**

The Scottish Information Commissioner has a team of 24 people in three departments: the Enforcement Team, the Policy and Information Team and the Corporate Services Team. More details are available here.

#### Scrutiny sessions by Parliamentary committees

Standards, Procedures and Public Appointments Committee 22 February 2024

Standards, Procedures and Public Appointments Committee 23 March 2023

#### **Reports by Audit Scotland**

Annual Audit Report for the Scottish Information Commissioner Financial year ended 31 March 2023

#### **Budget**

Annual Report 2022-23

Annual Report 2021-22

Annual Report 2020-21

## Scottish Public Services Ombudsman

#### Role and Responsibilities

The Scottish Public Services Ombudsman (SPSO) covers three functions. It is the final stage for complaints about public service organisations in Scotland; as the Scottish Welfare Fund Independent Review service, it carries out independent reviews of decisions that councils make on community care and crisis grant applications; and as Independent National Whistleblowing Officer, it is the final stage of the process for NHS workers raising concerns about the health services they deliver in Scotland. The SPSO makes public its reports and statistics on an annual basis, provides training resources to NHS service providers, and engages with local authorities to share good practise, for example by running interactive workshops.

#### **Background**

The Scottish Public Services Ombudsman Billwas introduced to the Scottish Parliament by the (then) Scottish Executive on 12 May 1999. The policy memorandumon the Bill gives information on why the SPSO was set up. As detailed, the drafting of this Bill meets the statutory requirement:

" 'of section 91(1) of the Scotland Act 1998 [...] for the Scottish Parliament to make provision for the investigation of certain complaints of maladministration made to its members and which relate to action taken by or on behalf of a member of the Scottish Executive or other office-holders in the Scottish Administration."

However, Scottish Executive further decided to propose that the SPSO would be a 'one-stop shop,' which would deal with complaints which were, at the time, dealt with by the Scottish Parliamentary Commissioner for Administration (SPCA), the Health Service Commissioner for Scotland (the "Health Service Ombudsman"), the Commissioner for Local Administration in Scotland (the "Local Government Ombudsman"), and the Housing Association Ombudsman for Scotland. The Scottish Executive also proposed that the SPSO would take over the Mental Welfare Commission's function of investigating the handling of complaints relating to mental health, and complaints against Scottish Enterprise and Highlands and Islands Enterprise. The policy memorandum states that 'An essential element of the Modernising Government programme is a modern complaints system which is open, accessible and accountable and which has the trust of the public.'

#### **Legislation establishing the Commissioner**

Scottish Public Services Ombudsman Act 2002

#### **Statutory Powers of the Commissioner**

- The final stage for complaints about most devolved public services in Scotland including councils, the health service, prisons, water and sewerage providers, Scottish Government, universities, and colleges.
- Specific powers and responsibilities to publish complaints handling procedures, and monitor and support best practice in complaints handling, independent review service for the Scottish Welfare Fund (SWF) with the power to overturn and substitute decisions made by councils on Community Care and Crisis Grant applications.
- Independent National Whistleblowing Officer for the NHS in Scotland (INWO) from 1
   April 2021 final stage for complaints about how the NHS considers whistleblowing
   disclosures and the treatment of individuals concerned.

#### Scottish Public Services Ombudsman staffing

For 2022/23, there was an average of 80 full-time equivalent staff working for the SPSO. A focus in the past year was on recruitment and induction of five additional Complaints Reviewers to fill temporary fixed-term vacancies to support their pandemic recovery plans.

#### Scrutiny sessions by Parliamentary committees

Local Government, Housing and Planning Committee 5 December 2023

Local Government, Housing and Planning Committee 6 December 2022

Local Government, Housing and Planning Committee 29 March 2022

#### **Reports by Audit Scotland**

Report to the Scottish Public Services Ombudsman and the Auditor General for Scotland on the 2021/22 Audit

#### **Annual Reports**

SPSO Annual Report and Financial Statements 2022-23

### Standards Commission for Scotland

#### Role and Responsibilities

The role of the Standards Commission is to achieve the highest possible ethical standards in public life so that the public in Scotland has confidence in those elected to local councils, and those appointed to the board of devolved public bodies. The Standards Commission promotes awareness of, and adherence to, the Codes of Conduct of councils and other devolved public bodies listed under Schedule 3 to the Ethical Standards in Public Life etc (Scotland) Act 2000, by providing guidance, support, and training. The Standards Commission reviews reports from the Ethical Standards Commissioner on its investigations into complaints about breaches of these Codes. The Standards Commission then determines whether to hold a Hearing, direct the ESC to carry out further investigations, or take no action. The Standards Commission can hold a Hearing to determine if a councillor of member of a devolved public body has contravened that body's Code of Conduct, and can determine what sanction will be applied, if the Code of Conduct has been breached.

#### **Background**

The then Scottish Executive introduced the Ethical Standards in Public Life etc. (Scotland) Bill to the Scottish Parliament on 1 March 2000. The Policy Memorandum on the Bill gives more information on the background. As detailed in this memorandum, om July 1997 the Committee on Standards in Public Life (the Nolan Committee) published its report, Standards of Conduct in Local Government in England, Scotland, and Wales. While the report concluded that most councillors were 'decent, hardworking and honest,' it also noted that a 'profusion of rules in local government,' had resulted in a 'growing lack of clarity of standards of conduct.' The Nolan Committee favoured a continuation of a non-statutory system for public bodies to ensure standards of conduct, however the Scottish Executive preferred introducing a statutory system, stating in the memorandum that this would not be seen 'as a deterrent by anyone genuinely committed to public service values,' and that a 'clear and strong framework of control,' would offer assurance to councillors themselves.

#### From the memorandum:

The draft Ethical Standards in Public Life etc. (Scotland) Bill was published for consultation on 18 November 1999. Over 6,500 copies of the consultation paper were issued. In addition, the draft Bill and accompanying paper were published on the Executive website. The consultation period ended on 14 January 2000. There were over 2,200 responses to that consultation. The majority of respondents who commented on the new ethical framework broadly welcomed the Bill and supported its general principles.

This bill also included a proposal to repeal section 2A of the Local Government Act 1986, which prohibited local authorities from 'promoting homosexuality by teaching or publishing

material.' The memorandum notes that the majority of consultation responses related to this matter.

The Bill was passed on 21 June 2000 and became an Act on 24 July 2000.

#### **Legislation establishing the Commissioner**

Ethical Standards in Public Life etc. (Scotland) Act 2000

**Statutory Powers of the Commission** The Standards Commission's functions as provided for by the 2000 Act are to:

- Issue guidance to councils and devolved public bodies to assist them in promoting high standards of conduct.
- Review reports from the ESC on the outcome of their investigations and determine
  whether to hold a Hearing or to take no action. The Standards Commission can also
  to direct the ESC to carry out further investigations.

The Standards Commission will hold a Hearing to determine:

- whether a councillor or member of a devolved public body has contravened the Councillors' or the Members' Code of Conduct.
- the sanction to be applied where, following a Hearing, the Panel find that a councillor or member has breached the relevant Code of Conduct.

#### Standards Commission for Scotland staffing

The Standards Commission's current structure is comprised of a Convener and four Commission Members who are appointed by the Parliamentary corporation. The Standards Commission employs four staff, led by an Executive Director.

#### Scrutiny sessions by Parliamentary committees

Local Government, Housing and Planning Committee 12 December 2023

Local Government, Housing and Planning Committee 10 January 2023

#### Reports by Audit Scotland

Standards Commission for Scotland 2021/22 Annual Audit Report

#### **Budget**

The SPCB approved a revised cash budget of £301,000 and contingency funding of £33,000 for 2022/23.

The SPCB approved a cash budget of £302,000 for 2021/22

The SPCB initially approved a cash budget of £283,000 for 2020/21

The SPCB approved a cash budget of £267,000) for 2019/20

Annual Report 2022-23

Scotland's Commissioner Landscape - A Strategic Approach, SB 24-18

Annual Report 2021-22

Annual Report 2020-21

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